

COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450

In re application of: Anand R. BAICHWAL
 Serial No.: 10/798,225
 Filed: March 11, 2004
 For: **SUSTAINED RELEASE HETERODISPERSE HYDROGEL SYSTEMS FOR INSOLUBLE DRUGS**

Sir:

Transmitted herewith is a **Response to Notice to File Missing Parts** in the above-identified application.

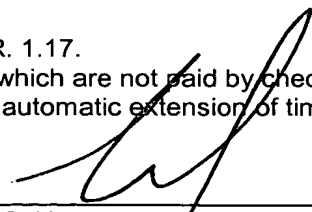
- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
☐ No fee for additional claims is required.
☒ A filing fee for additional claims calculated as shown below, is required:

(Col. 1)		(Col. 2)		SMALL ENTITY		OR	LARGE ENTITY	
FOR:	REMAINING	HIGHEST		RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY	PRESENT					
	AMENDMENT	PAID FOR	EXTRA					
TOTAL CLAIMS	21	Minus** 20	=	1			x \$ 18	\$ 18
INDEP. CLAIMS	10	Minus*** 3	=	7			x \$ 86	\$ 602
[] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM							+ \$ 280	\$

TOTAL: \$ OR TOTAL: \$ 620.00

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:
☐ Petition for extension under 37 C.F.R. 1.136 (in duplicate)
☒ Other: **Copy of Notice to File Missing Parts of Nonprovisional Application**
- ☒ Check(s) in the amount of **\$1520.00** is/are attached to cover:
☐ Filing fee for additional claims under 37 C.F.R. 1.16
☐ Petition fee for extension under 37 C.F.R. 1.136
☒ Other: **Basic Filing Fee (\$770.00), Additional Claims Fee (\$620.00), and Surcharge (\$130.00)**
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.


 Cary S. Kappel, Reg. No. 36,561
 DAVIDSON, DAVIDSON & KAPPEL, LLC
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I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with sufficient postage to the United States Postal Service as "first class mail" in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on
 July 26, 2004.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 



540.91195C3CON2

UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Anand R. BAICHWAL
Serial No.: 10/798,225
Filed: March 11, 2004
For: **SUSTAINED RELEASE HETERODISPERSE
HYDROGEL SYSTEMS FOR INSOLUBLE DRUGS**

RESPONSE TO NOTICE TO FILE MISSING PARTS

MAIL STOP: MISSING PARTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

July 26, 2004

Sir:

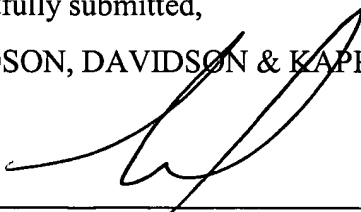
In response to the Notice to File Missing Parts of Non-provisional Application dated May 27, 2004, enclosed herewith is a check in the amount of \$1520.00 covering the basic filing fee, additional claims fee, and surcharge.

If it is determined that any additional fees are due or if any fees have been overpaid, the Commissioner is hereby authorized to charge the deficiency or overpayment to Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By


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Reg. No. 36,561

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/798,225	03/11/2004	Anand R. Baichwal	540.91195C3CON2

23280
 DAVIDSON, DAVIDSON & KAPPEL, LLC
 485 SEVENTH AVENUE, 14TH FLOOR
 NEW YORK, NY 10018

CONFIRMATION NO. 3483

FORMALITIES LETTER



OC000000012789016

Date Mailed: 05/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/28/2004 HLE333 - 00000053 10798225

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1201	602.00 OP
03 FC:1202	18.00 OP
04 FC:1051	130.00 OP

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$620** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:


Total additional fee(s) required for this application is **\$1520** for a Large Entity

- \$770** Statutory basic filing fee.
- \$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$620**

- \$602 for 7 independent claims over 3.
- \$18 for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE